

# Complexity results for some fragments of set theory involving the unordered Cartesian product operator<sup>★</sup>

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**Abstract.** In the context of Computable Set Theory, we consider the satisfiability problem for various subcases of the fragment  $\text{BST}^\otimes$  (Boolean Set Theory with the unordered Cartesian product  $\otimes$ ), whose long-standing decision problem has received very recently a positive solution in the  $\text{NEXPTIME}$  complexity class.  $\text{BST}^\otimes$  is the quantifier-free set theory involving the Boolean set operators of union ( $\cup$ ), intersection ( $\cap$ ), and set difference ( $\setminus$ ), as well as the unordered Cartesian product operator ( $\otimes$ ), and the set equality ( $=$ ) and inclusion ( $\subseteq$ ) predicates, where the unordered Cartesian product  $s \otimes t$  of two sets  $s$  and  $t$  is defined as the collection of all possible *unordered* pairs formed by selecting one element from  $s$  and one element from  $t$ . It is an open problem whether the satisfiability problem for  $\text{BST}^\otimes$  is NP-complete. Here, we delve into the specific case in which the number of distinct leading variables in literals of the form  $x = y \otimes z$  is  $\mathcal{O}(\log n)$ , where  $n$  represents the size of the  $\text{BST}^\otimes$  formula that one wants to test for satisfiability, and prove its NP-completeness. We will also mention various additional NP-completeness and polynomial results concerning the decision problem for other sub-theories of  $\text{BST}^\otimes$ .

## 1 Introduction

The field of Computable Set Theory has emerged from extensive research on the decision problem in set theory over the past few decades. This research, as documented in [5], originally aimed at mechanizing mathematics through a proof verifier based on set-theoretic formalism [21, 9, 19, 27]. However, it gradually evolved to focus on foundational aspects, specifically identifying the boundary between decidable and undecidable problems in set theory.

In 1980, the precursor fragment of set theory investigated for decidability was Multi-Level Syllogistic (MLS), as described in [16]. Since then, several progresses have been made, by extending MLS and demonstrating the decidability of their satisfiability problems. In some cases, the NP-completeness of these extensions

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has also been proven. For a more detailed and comprehensive account, the reader can refer to the monographs [5, 8, 27, 20, 12].

The decision problem for the extension  $\text{MLS}^\times$  of  $\text{MLS}$  with the Cartesian product  $\times$  has been challenging and has resisted attempts to find a solution, whether positive or negative. Initially, it was even uncertain whether the satisfiability problem for  $\text{MLS}^\times$  was decidable, especially for finite models. There was speculation about a potential reduction of Hilbert’s Tenth problem (H10) to the satisfiability problem for  $\text{MLS}^\times$ . Hilbert’s Tenth problem [17] seeks a uniform procedure to determine if a given Diophantine polynomial equation with integral coefficients has a solution in integers. In 1970, it was proven that no algorithmic procedure exists for H10, known as the DPRM theorem [24, 15, 18].

It was hypothesized that the union of disjoint sets and the Cartesian product could mirror integer addition and multiplication in H10, respectively, based on the properties of set cardinalities:  $|s \cup t| = |s| + |t|$  for disjoint sets  $s$  and  $t$ , and  $|s \times t| = |s| \cdot |t|$  for sets  $s$  and  $t$ . This observation forms the basis of the proof for the undecidability of the satisfiability problem for  $\text{MLS}^\times$  when extended with the cardinality comparison predicate  $|\cdot| \leq |\cdot|$  (see [1] and [7]). In this case,  $|s| \leq |t|$  holds if and only if the cardinality of  $s$  does not exceed that of  $t$ .

Efforts to solve the satisfiability problem for  $\text{MLS}^\times$  have significantly influenced the advancement of computable set theory. These attempts have led to the introduction of the technique known as “formative processes,” which has played a crucial role in the most intricate solutions to decision problems. The formative processes technique is extensively covered in [12], providing a relatively accessible introduction. Notably, this technique has been applied to solve the decision problems for the extensions  $\text{MLSSP}$  (with power set and singleton operators) [10] and  $\text{MLSSPF}$  (with finiteness predicate) [11].

Recently, an algorithmic solution to the satisfiability problem (s.p., for short) for the set theory fragment  $\text{BST}^\otimes$  has been presented in [14]. This fragment is closely related to  $\text{MLS}^\times$  and is obtained by dropping the membership predicate  $\in$  from it and also by replacing the ordered Cartesian product operator  $\times$  with its unordered variant  $\otimes$ . In  $\text{BST}^\otimes$ ,  $s \otimes t$  represents the set of unordered pairs  $\{u, v\}$  where  $u \in s$  and  $v \in t$ . Notably, these modifications do not affect the aforementioned connection with H10. The focus on  $\text{BST}^\otimes$  instead of  $\text{MLS}^\times$  just allows for a more streamlined analysis, removing unnecessary complexities from the study.

The finite s.p. for the extension of  $\text{MLS}$  with cardinality comparison is reducible to purely existential Presburger arithmetic, a known NP-complete problem [26, 2, 25]. In contrast, when cardinality comparison is added to either  $\text{BST}^\times$  or  $\text{BST}^\otimes$ , the finite s.p. for these extensions becomes undecidable. This is evident from the reduction of H10 to these problems, similar to the results in [1] and [7] for  $\text{MLS}^\otimes$  and  $\text{MLS}^\times$ . These findings demonstrate that the decision problem for  $\text{BST}^\otimes$  is situated at the brink of decidability.

To be more specific, the fragment of set theory  $\text{BST}^\otimes$  is the quantifier-free propositional closure of atoms of the following types:

$$x = y \cup z, \quad x = y \cap z, \quad x = y \setminus z, \quad x \subseteq y, \quad x = y \otimes z,$$

where  $x, y, z$  stand for (existentially quantified) set variables. Its ordinary and (hereditarily) finite s.p. have been proved to be decidable in [14] (see also [13] for a preliminary version), where NEXPTIME decision procedures have been provided.<sup>1</sup>

In this paper, we analyze a family of subtheories of  $\text{BST}\otimes$ , denoted  $\text{BST}\otimes_{\log}^\alpha$ , where  $\alpha > 0$ , and prove that their s.p. is NP-complete.

As shown in [14], by means of a suitable normalization process, the s.p. for  $\text{BST}\otimes$ -formulae can be easily reduced to the corresponding s.p. for *conjunctions* of literals of the following forms:

$$x = y \cup z, \quad x = y \setminus z, \quad x \neq y, \quad x = y \otimes z. \quad (1)$$

Calling  $\otimes$ -VARIABLE the leading variable  $x$  in any  $\otimes$ -LITERAL of the form  $x = y \otimes z$ , the fragment  $\text{BST}\otimes_{\log}^\alpha$  consists of normalized  $\text{BST}\otimes$ -conjunctions  $\Phi$  that have at most  $\alpha \log |\Phi|$  distinct  $\otimes$ -variables. Here,  $\alpha$  is any positive real parameter and  $|\Phi|$  represents the size of  $\Phi$ , defined as the number of conjuncts in  $\Phi$ . It is important to note that there is no restriction on the number of  $\otimes$ -conjuncts in any  $\Phi$  belonging to  $\text{BST}\otimes_{\log}^\alpha$ .

The paper is organized as follows. In Section 2, we present the semantics of  $\text{BST}\otimes$  using *partition assignments* and provide a comprehensive overview of the relevant terminology and concepts. Next, in Section 3, we revisit key notions introduced in [14], such as  $\otimes$ -graph, accessibility, fulfillment by a  $\otimes$ -graph, and  $\otimes$ -graphs induced by partitions. Additionally, we state two results from the same paper that are particularly relevant to our study. Subsequently, in Section 4, we introduce the novel concept of *projection* of a partition assignment and state various useful basic results related to them. We then proceed to prove the main result of the paper, namely the NP-completeness of the s.p. for the fragments  $\text{BST}\otimes_{\log}^\alpha$  (for  $\alpha > 0$ ). Finally, we conclude the paper with a summary of our findings and outline potential directions for future research.

## 2 Semantics of $\text{BST}\otimes$

A SET ASSIGNMENT  $M$  is a map from a collection  $V$  of set variables, denoted as  $\text{dom}(M)$  (the variable-domain of  $M$ ), to the von Neumann cumulative hierarchy  $\mathcal{V} := \bigcup_{\beta \in On} \mathcal{V}_\beta$  of well-founded sets. The universe  $\mathcal{V}$  is constructed in stages using transfinite recursion over the class  $On$  of all ordinals, where  $\mathcal{V}_\beta := \bigcup_{\gamma < \beta} \text{pow}(\mathcal{V}_\gamma)$ , for every  $\beta \in On$ , with  $\text{pow}(\cdot)$  denoting the powerset operator.<sup>2</sup> The rank of a well-founded set  $s \in \mathcal{V}$  is the least ordinal  $\beta$  such that  $s \subseteq \mathcal{V}_\beta$ . The collection of the sets with finite rank, namely those that belong to  $\mathcal{V}_\beta$  for some finite ordinal  $\beta$ , is the set of the HEREDITARILY FINITE SETS (HF).

The operators in  $\text{BST}\otimes$  are interpreted based on their usual semantics. For a set assignment  $M$  and  $x, y, z \in \text{dom}(M)$ , we have

<sup>1</sup> The ordinary and the (hereditarily) finite s.p. will be defined precisely in the next section.

<sup>2</sup> Thus,  $\mathcal{V}_0 = \bigcup_{\gamma < 0} \text{pow}(\mathcal{V}_\gamma) = \emptyset$ , since 0 is the smallest ordinal.

$$M(x \star y) := Mx \star My,$$

where  $\star \in \{\cup, \cap, \setminus, \otimes\}$  and where in particular  $s \otimes t$ , for any sets  $s$  and  $t$ , is the set of all the unordered pairs  $\{u, v\}$  such that  $u \in s$  and  $v \in t$ : in symbols,  $s \otimes t := \{\{u, v\} \mid u \in s, v \in t\}$ .

A set assignment  $M$  is extended to interpret the BST $\otimes$ -atoms over the variables in  $\text{dom}(M)$  by putting:

$$\begin{aligned} M(x = y \star z) = \mathbf{true} & \xleftarrow{\text{Def}} Mx = M(y \star z), \\ M(x = y) = \mathbf{true} & \xleftarrow{\text{Def}} Mx = My, \\ M(x \subseteq y) = \mathbf{true} & \xleftarrow{\text{Def}} Mx \subseteq My, \end{aligned}$$

for all  $x, y, z \in \text{dom}(M)$  and  $\star \in \{\cup, \cap, \setminus, \otimes\}$ , and recursively for the propositional connectives.

Given a set assignment  $M$  and a collection of variables  $V' \subseteq V = \text{dom}(M)$ , we put  $MV' = \{Mv \mid v \in V'\}$ . The SET-DOMAIN OF  $M$  is the set  $\bigcup_{v \in V} Mv$ . A set assignment  $M$  is FINITE (resp., HEREDITARILY FINITE) if so is its set-domain.

A BST $\otimes$ -formula  $\Phi$  is satisfied by a set assignment  $M$  if  $M\Phi = \mathbf{true}$ , in which case we write  $M \models \Phi$  and say that  $M$  is a MODEL for  $\Phi$ . If  $\Phi$  has a model, then  $\Phi$  is SATISFIABLE; otherwise, it is UNSATISFIABLE. If  $M \models \Phi$  and  $M$  is finite (resp., hereditarily finite), then  $\Phi$  is FINITELY SATISFIABLE (resp., HEREDITARILY FINITELY SATISFIABLE).

The SATISFIABILITY PROBLEM for BST $\otimes$  refers to the task of determining whether a given BST $\otimes$ -formula can be satisfied by some set assignment.

We observe that the empty set  $\emptyset$  can be characterized by means of the BST $\otimes$ -literal  $\mathbf{x}_\emptyset = \mathbf{x}_\emptyset \setminus \mathbf{x}_\emptyset$ , where  $\mathbf{x}_\emptyset$  can be regarded as a reserved variable, and that each literal  $x = y \cup z$  is equisatisfiable with the conjunction

$$\mathbf{w} = z \setminus y \wedge \mathbf{w} = x \setminus y \wedge \mathbf{x}_\emptyset = y \setminus x,$$

where  $\mathbf{w}$  is a newly introduced variable. Hence, we can drop from the list (1) the literals of the form  $x = y \cup z$ , and restricting ourselves hereafter, without loss of generality, to the s.p. for conjunctions of literals of the following three types:

$$x = y \setminus z, \quad x \neq y, \quad x = y \otimes z.$$

In [14], it was demonstrated that both the ordinary and the finite (resp., hereditarily finite) satisfiability problems for BST $\otimes$  can be effectively solved, indicating the existence of algorithmic tests that can provide answers for all instances of these problems. These results were established within the framework of partition assignments. (We recall that a PARTITION is a set of pairwise disjoint nonempty sets, called the BLOCKS of the partition.)

**Definition 2.1 (Partition assignments).** *Let  $\Phi$  be any BST $\otimes$ -conjunction, and let  $\text{Vars}(\Phi)$  denote the set of all the variables occurring in  $\Phi$ . A partition  $\Sigma$  is said to satisfy  $\Phi$  via some map  $\mathfrak{J}: \text{Vars}(\Phi) \rightarrow \text{pow}(\Sigma)$  (called a PARTITION ASSIGNMENT), and we write  $\Sigma/\mathfrak{J} \models \Phi$ , if the set assignment  $M_\Sigma$  induced by  $\mathfrak{J}$  satisfies  $\Phi$ , where  $M_\Sigma x := \bigcup \mathfrak{J}(x)$  for  $x \in \text{Vars}(\Phi)$ . A partition  $\Sigma$  is said to satisfy  $\Phi$ , and we write  $\Sigma \models \Phi$ , if it satisfies  $\Phi$  via some partition assignment.*

We close the section by introducing the unary variant of the unordered Cartesian product, similar to the unary versions of the binary set operators  $\cup$  and  $\cap$ , which are defined as follows:

$$\bigcup S := \{t \mid t \in s, \text{ for some } s \in S\} \quad \text{and} \quad \bigcap S := \{t \mid t \in s, \text{ for all } s \in S\}.$$

Specifically, for any sets  $s$  and  $t$  (which may or may not be distinct), we put

$$\otimes\{s, t\} := s \otimes t,$$

where we recall that  $s \otimes t = \{\{u, v\} \mid u \in s, v \in t\}$ .

### 3 The Satisfiability Problem for $\text{BST}\otimes$

Following [14], we review the definitions of  $\otimes$ -graphs, accessible  $\otimes$ -graph, the notion of fulfillment by an accessible  $\otimes$ -graph, and two relevant results connected to them.

**Definition 3.1 ( $\otimes$ -graphs).** A  $\otimes$ -GRAPH  $\mathcal{G}$  is a directed bipartite graph whose set of vertices comprises two disjoint parts: a set of PLACES  $\mathcal{P}$ , such that  $\mathcal{P} \cap (\mathcal{P} \otimes \mathcal{P}) = \emptyset$ , and a set of  $\otimes$ -NODES  $\mathcal{N}$ , where  $\mathcal{N} \subseteq \mathcal{P} \otimes \mathcal{P}$ . The edges issuing from each place  $q$  are exactly all pairs  $\langle q, B \rangle$  such that  $q \in B \in \mathcal{N}$ : these are the MEMBERSHIP EDGES. The remaining edges of  $\mathcal{G}$ , called DISTRIBUTION EDGES, go from  $\otimes$ -nodes to places. When there is an edge  $\langle B, q \rangle$  from a  $\otimes$ -node  $B$  to a place  $q$ , we say that  $q$  is a TARGET of  $B$ . Every  $\otimes$ -node must have at least one target. The map  $\mathcal{T}$  over  $\mathcal{N}$  defined by

$$\mathcal{T}(B) := \{q \in \mathcal{P} \mid q \text{ is a target of } B\}, \quad \text{for } B \in \mathcal{N},$$

is the TARGET MAP of  $\mathcal{G}$ , hence we have  $\mathcal{T}: \mathcal{N} \rightarrow \text{pow}^+(\mathcal{P})$ , where  $\text{pow}^+(\mathcal{P}) := \text{pow}(\mathcal{P}) \setminus \{\emptyset\}$ . Plainly, a  $\otimes$ -graph  $\mathcal{G}$  is fully characterized by the set  $\mathcal{P}$  of its places and its target map  $\mathcal{T}$ , since the sets of the  $\otimes$ -nodes of  $\mathcal{G}$  is expressible as  $\text{dom}(\mathcal{T})$ . The SIZE of a  $\otimes$ -graph is the cardinality of its set of places.

**Definition 3.2 (Accessible  $\otimes$ -graphs).** A place of a  $\otimes$ -graph  $\mathcal{G} = (\mathcal{P}, \mathcal{N}, \mathcal{T})$  is a SOURCE PLACE if it has no incoming edges. The remaining places, namely those with incoming edges, are called  $\otimes$ -PLACES. We denote by  $\mathcal{P}_\otimes$  the set of the  $\otimes$ -places of  $\mathcal{G}$ . A place of  $\mathcal{G}$  is ACCESSIBLE (from the source places of  $\mathcal{G}$ ) if either it is a source place or, recursively, it is the target of some node of  $\mathcal{G}$  whose places are *all* accessible from the source places of  $\mathcal{G}$ . Finally, a  $\otimes$ -graph is ACCESSIBLE when all its places are accessible.<sup>3</sup>

**Definition 3.3 (Fulfillment by an accessible  $\otimes$ -graph).** An accessible  $\otimes$ -graph  $\mathcal{G} = (\mathcal{P}, \mathcal{N}, \mathcal{T})$  FULFILLS a given  $\text{BST}\otimes$ -conjunction  $\Phi$  provided that there exists a map  $\mathfrak{F}: \text{Vars}(\Phi) \rightarrow \text{pow}(\mathcal{P})$  (called a  $\mathcal{G}$ -FULFILLING MAP FOR  $\Phi$ ) such that the following conditions are satisfied:

- (a)  $\mathfrak{F}(x) = \mathfrak{F}(y) \setminus \mathfrak{F}(z)$ , for every conjunct  $x = y \setminus z$  in  $\Phi$ ;
- (b)  $\mathfrak{F}(x) \neq \mathfrak{F}(y)$ , for every conjunct  $x \neq y$  in  $\Phi$ ; and
- (c) for every conjunct  $x = y \otimes z$  in  $\Phi$ ,

<sup>3</sup> Thus, a  $\otimes$ -graph with no source places is trivially not accessible.

- (c<sub>1</sub>)  $\mathfrak{F}(y) \otimes \mathfrak{F}(z) \subseteq \text{dom}(\mathcal{T})$ ;
- (c<sub>2</sub>)  $\mathfrak{F}(x) = \bigcup \mathcal{T}[\mathfrak{F}(y) \otimes \mathfrak{F}(z)]$ ; and
- (c<sub>3</sub>)  $\bigcup \mathcal{T}[\mathcal{N} \setminus (\mathfrak{F}(y) \otimes \mathfrak{F}(z))] \cap \mathfrak{F}(x) = \emptyset$ .

Let  $\Phi$  be a satisfiable  $\text{BST}\otimes$ -conjunction and let  $\Sigma$  be a partition that satisfies  $\Phi$  via a map  $\mathfrak{J}: V \rightarrow \text{pow}(\Sigma)$ , where  $V := \text{Vars}(\Phi)$ . Also, let  $V_\otimes$  be the collection of the  $\otimes$ -variables of  $\Phi$ .

We illustrate the construction of  $\mathcal{G}_\Sigma$ , the  $\otimes$ -graph induced by  $\Sigma$  and  $\Phi$ , where for simplicity the dependence on  $\Phi$  in the notation  $\mathcal{G}_\Sigma$  is implicitly understood.<sup>4</sup>

Let  $x_i = y_i \otimes z_i$ , for  $i = 1, \dots, m$ , be the  $\otimes$ -atoms of  $\Phi$ , so that  $V_\otimes = \{x_1, \dots, x_m\}$ . We put  $\Sigma_\otimes := \bigcup_{1 \leq i \leq m} \mathfrak{J}(x_i) = \bigcup \mathfrak{J}[V_\otimes]$  and  $\Pi_\otimes := \bigcup_{1 \leq i \leq m} (\mathfrak{J}(y_i) \otimes \mathfrak{J}(z_i))$ . Hence  $\bigcup \Sigma_\otimes = \bigcup \otimes [\Pi_\otimes]$  holds.

Let  $\mathcal{P}_\Sigma$  be any set of the same cardinality as  $\Sigma$  and such that  $\mathcal{P}_\Sigma \cap (\mathcal{P}_\Sigma \otimes \mathcal{P}_\Sigma) = \emptyset$ , and let  $q \mapsto q^{(\bullet)}$  be any bijection from  $\mathcal{P}_\Sigma$  onto  $\Sigma$ . Places in  $\mathcal{P}_\Sigma$  are intended to represent the blocks in  $\Sigma$ , via the bijection  $(\bullet)$ .

Let  $\mathcal{N}_\Sigma \subseteq \mathcal{P}_\Sigma \otimes \mathcal{P}_\Sigma$  be such that  $\mathcal{N}_\Sigma^{(\bullet)} = \Pi_\otimes$ , where the bijection  $(\bullet)$  has been naturally extended to any set  $B \in \mathcal{P}_\Sigma \otimes \mathcal{P}_\Sigma$ , by putting  $B^{(\bullet)} := \{q^{(\bullet)} \mid q \in B\}$ , and to any set  $\mathcal{A} \subseteq \mathcal{P}_\Sigma \otimes \mathcal{P}_\Sigma$ , by putting  $\mathcal{A}^{(\bullet)} := \{A^{(\bullet)} \mid A \in \mathcal{A}\}$ . The members of  $\mathcal{N}_\Sigma$  are the  $\otimes$ -NODES of the  $\otimes$ -graph  $\mathcal{G}_\Sigma$  we are after. Hence, the vertex set of  $\mathcal{G}_\Sigma$  is the union  $\mathcal{P}_\Sigma \cup \mathcal{N}_\Sigma$ . The disjoint sets  $\mathcal{P}_\Sigma$  and  $\mathcal{N}_\Sigma$  will form the parts of the bipartite graph  $\mathcal{G}_\Sigma$ .

Concerning the edges of  $\mathcal{G}_\Sigma$ , for all places  $q \in \mathcal{P}_\Sigma$  and  $\otimes$ -nodes  $B \in \mathcal{N}_\Sigma$  such that  $q \in B$ , there is a MEMBERSHIP EDGE  $\langle q, B \rangle$  in  $\mathcal{G}_\Sigma$ . In addition, for all  $\otimes$ -nodes  $B$  and places  $q$  such that  $q^{(\bullet)} \cap \otimes B^{(\bullet)} \neq \emptyset$ , there is a DISTRIBUTION EDGE  $\langle B, q \rangle$  in  $\mathcal{G}_\Sigma$ . Only places  $q$  such that  $q^{(\bullet)} \in \Sigma_\otimes$  have incoming edges. We call them  $\otimes$ -PLACES and denote their collection by  $\mathcal{P}_{\Sigma, \otimes}$ . Hence,

$$\mathcal{T}_\Sigma(B) := \{q \in \mathcal{P}_{\Sigma, \otimes} \mid q^{(\bullet)} \cap \otimes B^{(\bullet)} \neq \emptyset\}, \quad \text{for } B \in \mathcal{N}_\Sigma$$

is the target map  $\mathcal{T}_\Sigma$  of  $\mathcal{G}_\Sigma$ .

Next, we define a map  $\mathfrak{F}_\Sigma: \text{Vars}(\Phi) \rightarrow \text{pow}(\mathcal{P}_\Sigma)$ , which is supposed to abstract the partition assignment  $\mathfrak{J}$ , by putting

$$\mathfrak{F}_\Sigma(x) := \{q \in \mathcal{P}_\Sigma \mid q^{(\bullet)} \in \mathfrak{J}(x)\}, \quad \text{for } x \in \text{Vars}(\Phi). \quad (2)$$

The following two lemmas hold, both proved in [14]:

**Lemma 3.1.** *The  $\otimes$ -graph  $\mathcal{G}_\Sigma$  induced by the partition  $\Sigma$  (and by the  $\text{BST}\otimes$ -conjunction  $\Phi$ ) is accessible and fulfills  $\Phi$  via the map  $\mathfrak{F}_\Sigma$  defined in (2).*

**Lemma 3.2.** *A  $\text{BST}\otimes$ -conjunction fulfilled by an accessible  $\otimes$ -graph is satisfiable.*

The two preceding lemmas yield at once the following result:

**Theorem 3.1.** *A  $\text{BST}\otimes$ -conjunction with  $n$  distinct variables is satisfiable if and only if it is fulfilled by an accessible  $\otimes$ -graph.*

<sup>4</sup> It is also possible to define a variant of the  $\otimes$ -graph solely induced by  $\Sigma$ . This alternative approach was undertaken in [14].

## 4 NP-completeness of the Theories $\text{BST} \otimes_{\log}^\alpha$

Preliminarily, we adapt to partition assignments the concept of a set distinguishing a collection of variables with respect to a set assignment, introduced in [4] (see also [23]). We also state some properties associated with it, whose proofs are omitted due to space limits.

### 4.1 Distinguishing sets of variables

**Definition 4.1.** Given a partition  $\Sigma$ , a map  $\mathfrak{J}: V \rightarrow \text{pow}(\Sigma)$  over a set of variables  $V$ , and a subpartition  $\Sigma' \subseteq \Sigma$ , the PROJECTION OF  $\mathfrak{J}$  TO  $\Sigma'$  is the map  $\mathfrak{J}_{\Sigma'}: V \rightarrow \text{pow}(\Sigma')$  defined by  $\mathfrak{J}_{\Sigma'}(x) := \mathfrak{J}(x) \cap \Sigma'$ , for all  $x \in V$ .

We say that the subpartition  $\Sigma'$  DISTINGUISHES A SUBSET  $V' \subseteq V$  (RELATIVE TO  $\mathfrak{J}$ ), and write  $\Sigma' \times_{\mathfrak{J}} V'$ , if

$$\mathfrak{J}(x) \neq \mathfrak{J}(y) \implies \mathfrak{J}_{\Sigma'}(x) \neq \mathfrak{J}_{\Sigma'}(y), \quad \text{for all } x, y \in V',$$

namely if for every pair of variables  $x, y \in V'$  such that  $\mathfrak{J}(x) \neq \mathfrak{J}(y)$  there exists a block  $\sigma' \in \Sigma'$  for which the following biimplication holds:

$$\sigma' \in \mathfrak{J}(x) \iff \sigma' \notin \mathfrak{J}(y). \quad (3)$$

A block  $\sigma'$  satisfying (3) is said to *distinguish  $x$  and  $y$*  (relative to  $\mathfrak{J}$ ).

The property  $\Sigma' \times_{\mathfrak{J}} V'$  propagates upward with respect to its first argument and downward (namely it is hereditary) with respect to its second argument, as stated in the following lemma.

**Lemma 4.1.** *Given  $\Sigma' \subseteq \Sigma$  and  $V' \subseteq V$ , we have*

- (a)  $(\forall \Sigma'' \mid \Sigma' \subseteq \Sigma'' \subseteq \Sigma)(\Sigma' \times_{\mathfrak{J}} V' \implies \Sigma'' \times_{\mathfrak{J}} V')$ , and
- (b)  $(\forall V'' \subseteq V')(\Sigma' \times_{\mathfrak{J}} V' \implies \Sigma' \times_{\mathfrak{J}} V'')$ .

The next lemma asserts that, for any finite partition  $\Sigma$  and any finite set of variables  $V$ , every subpartition  $\Sigma'$  of  $\Sigma$  that distinguishes a subset  $V'$  of  $V$  (relative to a given partition assignment) can be extended with at most one block for each of the variables in  $V \setminus V'$  to a subpartition of  $\Sigma$  that distinguishes the whole  $V$ .

**Lemma 4.2.** *Let  $\Sigma$  be a finite partition and  $V$  a finite set of variables, and let  $\mathfrak{J}: V \rightarrow \text{pow}(\Sigma)$  be a partition assignment over  $V$ . Let  $\Sigma' \subseteq \Sigma$  and  $V' \subseteq V$  be such that  $\Sigma' \times_{\mathfrak{J}} V'$ . Then, relative to  $\mathfrak{J}$ ,  $V$  is distinguished by a subpartition  $\Sigma'' \subseteq \Sigma$  extending  $\Sigma'$  and whose cardinality exceeds that of  $\Sigma'$  by at most  $|V \setminus V'|$ , namely such that  $|\Sigma''| \leq |\Sigma'| + |V \setminus V'|$ .*

### 4.2 The NP-completeness proof

Let  $\Phi$  be a satisfiable  $\text{BST} \otimes$ -conjunction and let  $\Sigma$  be a partition that satisfies  $\Phi$  via a map  $\mathfrak{J}: V \rightarrow \text{pow}(\Sigma)$ , where  $V := \text{Vars}(\Phi)$ . Also, let  $V_{\otimes}$  be the collection of the  $\otimes$ -variables of  $\Phi$ .

We will prove that if  $|V_\otimes| \leq \alpha \log |\Phi|$  for some  $\alpha > 0$ , then the conjunction  $\Phi$  can be fulfilled by an accessible  $\otimes$ -graph of size  $\mathcal{O}(|\Phi|^{\max(\alpha, 1)})$ . This will imply that in nondeterministic polynomial time one can construct an accessible  $\otimes$ -graph  $\mathcal{G}$  and a  $\mathcal{G}$ -fulfilling map for  $\Phi$ , thereby establishing the nondeterministic polynomiality of the s.p. for each of the subfragments  $\text{BST}_{\text{log}}^\alpha$  of  $\text{BST}_\otimes$ .

Let, as before,  $\Sigma_\otimes := \bigcup \mathcal{J}[V_\otimes]$  be the subpartition consisting of all blocks  $\sigma$  in  $\Sigma$  that belong to some  $\mathcal{J}(v)$ , where  $v \in V_\otimes$ . We define the following equivalence relation  $\sim_\otimes$  over  $\Sigma_\otimes$ :

$$\sigma \sim_\otimes \tau \stackrel{\text{Def}}{\iff} (\forall v \in V_\otimes) (\sigma \in \mathcal{J}(v) \iff \tau \in \mathcal{J}(v)).$$

Let  $\sigma \in \Sigma_\otimes$ , and let  $V_{\otimes, \sigma} := \{v \in V_\otimes \mid \sigma \in \mathcal{J}(v)\}$ . The equivalence class  $[\sigma]_{\sim_\otimes}$  can be expressed as follows:

$$[\sigma]_{\sim_\otimes} := \bigcap \{ \mathcal{J}(v) \mid v \in V_{\otimes, \sigma} \} \setminus \bigcup \{ \mathcal{J}(v) \mid v \in V_\otimes \setminus V_{\otimes, \sigma} \},$$

showing that there is an injective map  $[\sigma]_{\sim_\otimes} \mapsto V_{\otimes, \sigma}$ , where  $V_{\otimes, \sigma} \in \text{pow}^+(V_\otimes)$ , and therefore the number of equivalence classes of  $\sim_\otimes$  is bounded by  $2^{|V_\otimes|} - 1$ .

Within each equivalence class of  $\sim_\otimes$ , we choose *any* representative block, and we denote their collection by  $\Sigma_{\sim_\otimes}$ . Thus, we have:

$$|\Sigma_{\sim_\otimes}| < 2^{|V_\otimes|}. \quad (4)$$

**Lemma 4.3.** *The subpartition  $\Sigma_{\sim_\otimes}$  distinguishes the variables in  $V_\otimes$  (in the sense of Definition 4.1).*

*Proof.* Let  $\mathcal{J}(x) \neq \mathcal{J}(y)$ , for some  $x, y \in V_\otimes$ . Then there exists a block  $\sigma \in \Sigma$  such that

$$\sigma \in \mathcal{J}(x) \iff \sigma \notin \mathcal{J}(y), \quad (5)$$

so that  $\sigma \in \Sigma_\otimes$ . Letting  $\bar{\sigma} \in \Sigma_{\sim_\otimes}$  be the  $\sim_\otimes$ -representative of  $\sigma$  in  $\Sigma_\otimes$ , we plainly have

$$\sigma \in \mathcal{J}(x) \iff \bar{\sigma} \in \mathcal{J}(x) \quad \text{and} \quad \sigma \in \mathcal{J}(y) \iff \bar{\sigma} \in \mathcal{J}(y),$$

and therefore, by (5),  $\bar{\sigma} \in \mathcal{J}(x) \iff \bar{\sigma} \notin \mathcal{J}(y)$ . Hence,  $\bar{\sigma}$  distinguishes  $x$  and  $y$  and, by Lemma 4.1(a), so does  $\Sigma_{\sim_\otimes}$ .  $\square$

By Lemma 4.2 and the inequality (4), there exists an extension  $\Sigma' \subseteq \Sigma$  of  $\Sigma_{\sim_\otimes}$  that distinguishes the whole set  $V$  of the variables occurring in  $\Phi$  and such that

$$|\Sigma'| \leq |\Sigma_{\sim_\otimes}| + |V \setminus V_\otimes| < 2^{|V_\otimes|} + |V| \leq 2^{|V_\otimes|} + 3 \cdot |\Phi|. \quad (6)$$

Given  $\sigma \in \Sigma_\otimes$ , there is a  $\otimes$ -literal  $x = y \otimes z$  in  $\Phi$ , such that  $\sigma \in \mathcal{J}(x)$ . Recalling that  $\Sigma$  satisfies  $\Phi$  via the mapping  $\mathcal{J}$ , we have  $M_\sigma x = M_\sigma y \otimes M_\sigma z$ , namely  $\bigcup \mathcal{J}(x) = \bigcup \mathcal{J}(y) \otimes \bigcup \mathcal{J}(z)$ . Thus,  $\sigma \subseteq \bigcup \mathcal{J}(y) \otimes \bigcup \mathcal{J}(z)$ , and so there exist  $\rho \in \mathcal{J}(y)$  and  $\tau \in \mathcal{J}(z)$  such that  $\sigma$  intersects the product  $\rho \otimes \tau$ . Hence, the set

$$\mathbb{P}_{\otimes, \sigma} := \{A \in \Sigma \otimes \Sigma \mid (\otimes A) \cap \bigcup [\sigma]_{\sim_\otimes} \neq \emptyset\}$$

is nonempty for all  $\sigma \in \Sigma_\otimes$ .

For every  $\sigma \in \Sigma' \cap \Sigma_\otimes$ , we select from  $\mathbb{P}_{\otimes, \sigma}$  an unordered pair  $A_\sigma$  such that  $\otimes A_\sigma$  contains some member of *minimal* rank in  $\bigcup \otimes [\mathbb{P}_{\otimes, \sigma}] \cap \bigcup [\sigma]_{\sim_\otimes}$ , and call the doubleton  $A_\sigma$  the *PRECURSOR* of  $\sigma$ . Then, we put

$$\Sigma'' := \Sigma' \cup \left( \bigcup \{A_\sigma \mid \sigma \in \Sigma' \cap \Sigma_\otimes\} \setminus \Sigma_\otimes \right).$$



From (6), we have

$$\begin{aligned} |\Sigma''| &\leq |\Sigma'| + |\bigcup\{A_\sigma \mid \sigma \in \Sigma' \cap \Sigma_\otimes\} \setminus \Sigma_\otimes| \\ &\leq |\Sigma'| + 2 \cdot |\Sigma' \cap \Sigma_\otimes| \leq 3 \cdot |\Sigma'| \leq 3 \cdot (2^{|\mathcal{V}_\otimes|} + 3 \cdot |\Phi|). \end{aligned}$$

Thus, taking into account our hypothesis  $|V_\otimes| \leq \alpha \log |\Phi|$ , where  $\alpha > 0$ , we have  $|\Sigma''| \leq 3 \cdot (2^{\alpha \log |\Phi|} + |\Phi|) = 3 \cdot (|\Phi|^\alpha + |\Phi|) \leq 12 \cdot |\Phi|^{\max(\alpha, 1)}$ .

Let  $\mathcal{P}$  be any set of the same cardinality as  $\Sigma$  and such that  $\mathcal{P} \cap (\mathcal{P} \otimes \mathcal{P}) = \emptyset$ , and let  $q \mapsto q^{(\bullet)}$  be any bijection from  $\mathcal{P}$  onto  $\Sigma$ .

We define next an accessible  $\otimes$ -graph  $\mathcal{G}'' = (\mathcal{P}'', \mathcal{N}'', \mathcal{T}'')$  of size  $|\Sigma''|$  and then prove that it fulfills  $\Phi$ . Let

$$\mathcal{P}'' := \{q \in \mathcal{P} \mid q^{(\bullet)} \in \Sigma''\}.$$

Only places  $q \in \mathcal{P}''$  such that  $q^{(\bullet)} \in \Sigma_\otimes$ —and hence such that  $q^{(\bullet)} \in \Sigma' \cap \Sigma_\otimes$ —are targets of some  $\otimes$ -node in  $\mathcal{N}'' \subseteq \mathcal{P}'' \otimes \mathcal{P}''$ . In order to characterize the distribution edges of  $\mathcal{G}''$ , we extend the equivalence relation  $\sim_\otimes$  to an equivalence relation  $\sim_\otimes^*$  over the whole partition  $\Sigma$  by letting  $\sim_\otimes^* := \sim_\otimes \cup \{\{\rho\} \mid \rho \in \Sigma \setminus \Sigma_\otimes\}$ .

To simplify the exposition, for all places  $p, q$  and unordered pairs of places  $A$  and  $B$ , in what follows we will write

$$p \sim_\otimes q, \quad p \sim_\otimes^* q, \quad A \sim_\otimes^* B$$

when

$$p^{(\bullet)} \sim_\otimes q^{(\bullet)}, \quad p^{(\bullet)} \sim_\otimes^* q^{(\bullet)}, \quad A^{(\bullet)} \sim_\otimes^* B^{(\bullet)}$$

hold, respectively. Also, if  $p_{\sim_\otimes}^{(\bullet)}$  is the representative block in the  $\sim_\otimes$ -equivalence class  $[p^{(\bullet)}]_{\sim_\otimes}$ , we will refer to the place  $p_{\sim_\otimes}$  as the  $\sim_\otimes$ -representative of  $p$ . Finally, if the unordered pair of blocks  $B^{(\bullet)}$  is the precursor of a block  $q^{(\bullet)}$ , we also say that the  $\otimes$ -node  $B$  is the precursor of the place  $q$ .

For all  $\otimes$ -nodes  $A := \{p_1, p_2\}$  and  $B := \{q_1, q_2\}$  of  $\mathcal{G}_\Sigma$ , we put

$$A \sim_\otimes^* B \stackrel{\text{Def}}{\iff} (\exists i \in \{1, 2\})(p_1 \sim_\otimes^* q_i \wedge p_2 \sim_\otimes^* q_{3-i}).$$

Similarly, for all distribution edges  $\langle A, p \rangle$  and  $\langle B, q \rangle$  of  $\mathcal{G}_\Sigma$ , we put

$$\langle A, p \rangle \sim_\otimes^* \langle B, q \rangle \stackrel{\text{Def}}{\iff} (A \sim_\otimes^* B \wedge p \sim_\otimes^* q).$$

For all  $B \in \mathcal{P}'' \otimes \mathcal{P}''$  and  $q \in \mathcal{P}''$ ,  $\langle B, q \rangle$  is a distribution edge of  $\mathcal{G}''$  if and only if  $\mathcal{G}_\Sigma$  contains a distribution edge  $\langle \bar{B}, \bar{q} \rangle$  such that  $\langle B, q \rangle \sim_\otimes^* \langle \bar{B}, \bar{q} \rangle$ . The graph  $\mathcal{G}''$  contains no other distribution edges.

The set  $\mathcal{N}''$  of the  $\otimes$ -nodes of  $\mathcal{G}''$  consists of all  $B \in \mathcal{P}'' \otimes \mathcal{P}''$  for which  $\langle B, q \rangle$  is a distribution edge of  $\mathcal{G}''$ , for some  $q \in \mathcal{P}''$ .

A  $\otimes$ -node  $B$  of  $\mathcal{G}''$  is a PRECURSOR NODE of a  $\otimes$ -place  $q$  of  $\mathcal{G}''$  if and only if there exists a distribution edge  $\langle \bar{B}, \bar{q} \rangle$  of  $\mathcal{G}_\Sigma$  such that  $\langle \bar{B}, \bar{q} \rangle \sim_\otimes^* \langle B, q \rangle$  and  $\bar{B}$  is the precursor of  $\bar{q}$ .

For each  $\otimes$ -node  $\{p_1, p_2\}$  of  $\mathcal{G}''$ , the membership edges  $\langle p_1, \{p_1, p_2\} \rangle$  and  $\langle p_2, \{p_1, p_2\} \rangle$  are in  $\mathcal{G}''$ , and these are the only membership edges of  $\mathcal{G}''$ . Thus,

**Lemma 4.4.** *The  $\otimes$ -graph  $\mathcal{G}''$  has size at most  $12 \cdot |\Phi|^{\max(\alpha, 1)}$ .*

Next, we show that the  $\otimes$ -graph  $\mathcal{G}''$  is accessible.

**Lemma 4.5.** *The  $\otimes$ -graph  $\mathcal{G}''$  is accessible.*

*Proof.* For a contradiction, let us assume that  $\mathcal{G}''$  is not accessible, and let  $q$  be a non-accessible  $\otimes$ -place of  $\mathcal{G}''$  (hence  $q^{(\bullet)} \in \Sigma_{\otimes}$ ) such that  $\bigcup[q^{(\bullet)}]_{\sim_{\otimes}}$  contains a member of smallest rank among the non-accessible  $\otimes$ -places of  $\mathcal{G}''$ . Also, let  $\{p_1, p_2\}$  be a precursor  $\otimes$ -node of  $q$  (in  $\mathcal{G}''$ ). Then, there are  $\bar{p}_1, \bar{p}_2, \bar{q} \in \mathcal{P}_{\Sigma}$  such that

- $\bar{p}_1^{(\bullet)} \sim_{\otimes}^* p_1^{(\bullet)}$ ,  $\bar{p}_2^{(\bullet)} \sim_{\otimes}^* p_2^{(\bullet)}$ , and  $\bar{q}^{(\bullet)} \sim_{\otimes}^* q^{(\bullet)}$ ;
- $\langle \{\bar{p}_1, \bar{p}_2\}, \bar{q} \rangle$  is a distribution edge of  $\mathcal{G}_{\Sigma}$ ; and
- $\bar{q}^{(\bullet)}$  contains some member of smallest rank in  $\bigcup[q^{(\bullet)}]_{\sim_{\otimes}}$ .

Since both  $\bigcup[p_1^{(\bullet)}]_{\sim_{\otimes}}$  and  $\bigcup[p_2^{(\bullet)}]_{\sim_{\otimes}}$  contain elements of smaller rank than that of any element in  $\bigcup[q^{(\bullet)}]_{\sim_{\otimes}}$ , the minimality of  $q$  among all the non-accessible  $\otimes$ -places of  $\mathcal{G}''$  yields that both  $p_1$  and  $p_2$  are accessible in  $\mathcal{G}''$ . Therefore, after all, the place  $q$  is accessible in  $\mathcal{G}''$ , which is a contradiction, proving that  $\mathcal{G}''$  is accessible.  $\square$

We are now ready to prove our main result, namely that the  $\otimes$ -graph  $\mathcal{G}''$  fulfills our conjunction  $\Phi$ .

**Lemma 4.6.** *The map  $\mathfrak{F}'' : V \rightarrow \text{pow}(\mathcal{P}'')$ , defined by  $\mathfrak{F}''(x) := \mathfrak{F}_{\Sigma}(x) \cap \mathcal{P}''$ , for each  $x \in V$ , is a  $\mathcal{G}''$ -fulfilling map for  $\Phi$ . Hence the  $\otimes$ -graph  $\mathcal{G}''$  fulfills the BST $\otimes$ -conjunction  $\Phi$ .*

*Proof.* Preliminarily, we observe that we have:

$$\mathfrak{F}''(x) = \{q \in \mathcal{P} \mid q^{(\bullet)} \in \mathfrak{I}(x) \cap \Sigma''\}, \quad \text{for every } x \in V. \quad (7)$$

Concerning the fulfilling condition (a) for  $\mathfrak{F}''$ , for every literal  $x = y \setminus z$  in  $\Phi$  we have

$$\begin{aligned} \mathfrak{F}''(x) &= \mathfrak{F}_{\Sigma}(x) \cap \mathcal{P}'' = (\mathfrak{F}_{\Sigma}(y) \setminus \mathfrak{F}_{\Sigma}(z)) \cap \mathcal{P}'' \\ &= (\mathfrak{F}_{\Sigma}(y) \cap \mathcal{P}'') \setminus (\mathfrak{F}_{\Sigma}(z) \cap \mathcal{P}'') = \mathfrak{F}''(y) \setminus \mathfrak{F}''(z) \end{aligned}$$

(since, by the same fulfilling condition for  $\mathfrak{F}_{\Sigma}$ , it holds that  $\mathfrak{F}_{\Sigma}(x) = \mathfrak{F}_{\Sigma}(y) \setminus \mathfrak{F}_{\Sigma}(z)$ ), proving condition (a) for  $\mathfrak{F}''$ .

As regards the fulfilling condition (b) for  $\mathfrak{F}''$ , for every literal in  $\Phi$  of the form  $x \neq y$ , by the same fulfilling condition for  $\mathfrak{F}_{\Sigma}$  it holds that  $\mathfrak{F}_{\Sigma}(x) \neq \mathfrak{F}_{\Sigma}(y)$ , and therefore  $\mathfrak{I}(x) \neq \mathfrak{I}(y)$ . By recalling that  $\Sigma''$  distinguishes  $V$  (relative to  $\mathfrak{I}$ ), we have  $\mathfrak{I}(x) \cap \Sigma'' \neq \mathfrak{I}(y) \cap \Sigma''$ . Hence, by (7), we readily obtain  $\mathfrak{F}''(x) \neq \mathfrak{F}''(y)$ , thus establishing also condition (b) for  $\mathfrak{F}''$ .

As for condition (c) of Definition 3.3, we need to prove that the following fulfilling conditions hold, for every  $\otimes$ -literal  $x = y \otimes z$  in  $\Phi$ :

- (c<sub>1</sub>)  $\mathfrak{F}''(y) \otimes \mathfrak{F}''(z) \subseteq \text{dom}(\mathcal{T}'')$ ;
- (c<sub>2</sub>)  $\mathfrak{F}''(x) = \bigcup \mathcal{T}''[\mathfrak{F}''(y) \otimes \mathfrak{F}''(z)]$ ; and
- (c<sub>3</sub>)  $\bigcup \mathcal{T}''[\mathcal{N}'' \setminus (\mathfrak{F}''(y) \otimes \mathfrak{F}''(z))] \cap \mathfrak{F}''(x) = \emptyset$ .

where  $\mathcal{T}''$  and  $\mathcal{N}''$  are the target map and the set of the  $\otimes$ -nodes of  $\mathcal{G}''$ , respectively. Thus, let  $x = y \otimes z$  be any  $\otimes$ -literal in  $\Phi$ .

Concerning condition (c<sub>1</sub>), let  $v \in \mathfrak{F}''(y)$  and  $\zeta \in \mathfrak{F}''(z)$ . Hence, it holds that  $v, \zeta \in \mathcal{P}''$ ,  $v \in \mathfrak{F}_{\Sigma}(y)$ , and  $\zeta \in \mathfrak{F}_{\Sigma}(z)$ . Since, by Lemma 3.1, the  $\otimes$ -graph  $\mathcal{G}_{\Sigma}$

induced by the partition  $\Sigma$  and by  $\Phi$  fulfills the  $\text{BST}_{\otimes}$ -conjunction  $\Phi$  via the map  $\mathfrak{F}_{\Sigma}$ , from conditions (c<sub>1</sub>) and (c<sub>2</sub>) for  $\mathfrak{F}_{\Sigma}$ , it follows that  $\emptyset \neq \mathcal{T}_{\Sigma}(\{v, \zeta\}) \subseteq \mathfrak{F}_{\Sigma}(x)$ . Let  $q \in \mathcal{T}_{\Sigma}(\{v, \zeta\})$ , so that  $q \in \mathfrak{F}_{\Sigma}(x)$  and  $q^{(\bullet)} \in \mathfrak{I}(x) \subseteq \Sigma_{\otimes}$ , and let  $q_{\sim_{\otimes}} \in \mathcal{P}_{\Sigma}$  be the  $\sim_{\otimes}$ -representative of  $q$ . Then,  $\langle \{v, \zeta\}, q_{\sim_{\otimes}} \rangle$  is a distribution edge in  $\mathcal{G}^H$ , proving that  $\{v, \zeta\} \in \text{dom}(\mathcal{T}^H)$ , and in turn establishing condition (c<sub>1</sub>) for  $\mathfrak{F}_{\Sigma}$ .

Next, concerning the fulfilling condition (c<sub>2</sub>) for  $\mathfrak{F}_{\Sigma}$ , let  $q \in \mathfrak{F}^H(x)$ , so that  $q \in \mathfrak{F}_{\Sigma}(x) \cap \mathcal{P}^H$ . Hence, by the same fulfilling condition for  $\mathfrak{F}_{\Sigma}$ , there exist  $p \in \mathfrak{F}_{\Sigma}(y)$  and  $r \in \mathfrak{F}_{\Sigma}(z)$  such that  $q \in \mathcal{T}_{\Sigma}(\{p, r\})$ . Letting  $p_{\sim_{\otimes}}$  and  $r_{\sim_{\otimes}}$  be the  $\sim_{\otimes}$ -representatives of the equivalence classes  $[p]_{\sim_{\otimes}}$  and  $[r]_{\sim_{\otimes}}$ , respectively, we have  $p_{\sim_{\otimes}} \in \mathfrak{F}^H(y)$ ,  $r_{\sim_{\otimes}} \in \mathfrak{F}^H(z)$ , and  $\{p_{\sim_{\otimes}}, r_{\sim_{\otimes}}\} \sim_{\otimes} \{p, r\}$ . Thus,

$$q \in \mathcal{T}^H(\{p_{\sim_{\otimes}}, r_{\sim_{\otimes}}\}) \subseteq \bigcup \mathcal{T}^H[\mathfrak{F}^H(y) \otimes \mathfrak{F}^H(z)],$$

and therefore we have  $\mathfrak{F}^H(x) \subseteq \bigcup \mathcal{T}^H[\mathfrak{F}^H(y) \otimes \mathfrak{F}^H(z)]$ .

As for the reverse inclusion, let  $p \in \mathfrak{F}^H(y)$  and  $r \in \mathfrak{F}^H(z)$ , and let  $q \in \mathcal{T}^H(\{p, r\})$ . Then there exist  $\bar{p}, \bar{r}$ , and  $\bar{q}$  such that  $\bar{q} \in \mathcal{T}_{\Sigma}(\{\bar{p}, \bar{r}\})$ ,  $\bar{p} \sim_{\otimes}^* p$ ,  $\bar{r} \sim_{\otimes}^* r$ , and  $\bar{q} \sim_{\otimes}^* q$ . We need to show that  $q \in \mathfrak{F}^H(x)$ . Since  $q \in \mathcal{P}^H$ , it is enough to prove that  $q \in \mathfrak{F}_{\Sigma}(x)$ . From  $\bar{p} \sim_{\otimes}^* p$  and  $p \in \mathfrak{F}_{\Sigma}(y)$ , we have  $\bar{p} \in \mathfrak{F}_{\Sigma}(y)$ . Likewise, we have  $\bar{r} \in \mathfrak{F}_{\Sigma}(z)$ . Thus,  $\{\bar{p}, \bar{r}\} \in \mathfrak{F}_{\Sigma}(y) \otimes \mathfrak{F}_{\Sigma}(z)$  and therefore, by the fulfilling condition (c<sub>2</sub>) for  $\mathfrak{F}_{\Sigma}$ , we have  $\bar{q} \in \mathfrak{F}_{\Sigma}(x)$ . Since  $\bar{q} \sim_{\otimes}^* q$ , the latter implies that  $q \in \mathfrak{F}_{\Sigma}(x)$ , which is precisely what we wanted to prove. Thus, we have  $\bigcup \mathcal{T}^H[\mathfrak{F}^H(y) \otimes \mathfrak{F}^H(z)] \subseteq \mathfrak{F}^H(x)$  that, together with the inclusion proved earlier, implies  $\mathfrak{F}^H(x) = \bigcup \mathcal{T}^H[\mathfrak{F}^H(y) \otimes \mathfrak{F}^H(z)]$ , proving that the fulfilling condition (c<sub>2</sub>) holds for  $\mathfrak{F}^H$ .

Finally, to prove that also the fulfilling condition (c<sub>3</sub>) holds for  $\mathfrak{F}^H$ , it is enough to show that, taken any

$$q \in \mathfrak{F}^H(x) \quad \text{and} \quad \{p, r\} \in \text{dom}(\mathcal{T}^H) \setminus (\mathfrak{F}^H(y) \otimes \mathfrak{F}^H(z)), \quad (8)$$

we have  $q \notin \mathcal{T}^H(\{p, r\})$ .

If, for contradiction, we have  $q \in \mathcal{T}^H(\{p, r\})$  under the hypotheses (8), then there exist places  $\bar{q}, \bar{p}, \bar{r} \in \mathcal{P}_{\Sigma}$  such that

$$\bar{q} \sim_{\otimes}^* q, \quad \bar{p} \sim_{\otimes}^* p, \quad \bar{r} \sim_{\otimes}^* r, \quad \text{and} \quad \bar{q} \in \mathcal{T}_{\Sigma}(\{\bar{p}, \bar{r}\}),$$

so that  $\bar{q}^{(\bullet)} \cap (\bar{p}^{(\bullet)} \otimes \bar{r}^{(\bullet)}) \neq \emptyset$ . Since  $q \in \mathfrak{F}^H(x) = \mathfrak{F}_{\Sigma}(x) \cap \mathcal{P}^H$  and  $\bar{q} \sim_{\otimes}^* q$ , it follows that  $\bar{q} \in \mathfrak{F}_{\Sigma}(x)$ . Hence,  $\bar{q}^{(\bullet)} \in \mathfrak{I}(x)$ . Letting  $\{a_{\bar{p}}, a_{\bar{r}}\} \in \bar{q}^{(\bullet)}$ , where  $a_{\bar{p}} \in \bar{p}^{(\bullet)}$  and  $a_{\bar{r}} \in \bar{r}^{(\bullet)}$ , in view of  $\bigcup \mathfrak{I}(x) = \bigcup \mathfrak{I}(y) \otimes \bigcup \mathfrak{I}(z)$  (since  $\Sigma/\mathfrak{I} \models x = y \otimes z$ ), we have  $\{a_{\bar{p}}, a_{\bar{r}}\} \in \bigcup \mathfrak{I}(y) \otimes \bigcup \mathfrak{I}(z)$ . Without loss of generality, let us assume that  $a_{\bar{p}} \in \bigcup \mathfrak{I}(y)$  and  $a_{\bar{r}} \in \bigcup \mathfrak{I}(z)$ . Then we have  $\bar{p}^{(\bullet)} \in \mathfrak{I}(y)$  and  $\bar{r}^{(\bullet)} \in \mathfrak{I}(z)$ , and so  $p^{(\bullet)} \in \mathfrak{I}(y)$  and  $r^{(\bullet)} \in \mathfrak{I}(z)$ , which yield  $p \in \mathfrak{F}_{\Sigma}(y)$  and  $r \in \mathfrak{F}_{\Sigma}(z)$ . From  $\{p, r\} \in \text{dom}(\mathcal{T}^H)$  we have  $p, r \in \mathcal{P}^H$ , and so  $p \in \mathfrak{F}^H(y)$  and  $r \in \mathfrak{F}^H(z)$ , from which it follows that  $\{p, r\} \in \mathfrak{F}^H(y) \otimes \mathfrak{F}^H(z)$ , which is a contradiction. Thus, even the last fulfilling condition (c<sub>3</sub>) holds for  $\mathfrak{F}^H$ .

This completes the proof that  $\mathfrak{F}^H$  is indeed a  $\mathcal{G}^H$ -fulfilling map for  $\Phi$ .  $\square$

Letting  $\beta := \max(\alpha, 1)$ , from Lemmas 4.4, 4.5, and 4.6, it follows that our satisfiable  $\text{BST}_{\otimes_{\log}}^{\alpha}$ -conjunction  $\Phi$  is fulfilled by an accessible  $\otimes$ -graph  $\mathcal{G}^H$  of size at most  $12 \cdot |\Phi|^{\beta}$  via a suitable  $\mathcal{G}^H$ -fulfilling map  $\mathfrak{F}^H$ . These, namely  $\mathcal{G}^H$  and  $\mathfrak{F}^H$ , can be constructed in nondeterministic  $\mathcal{O}(|\Phi|^{3\beta})$  time, and in deterministic

$\mathcal{O}(|\mathcal{F}|^{3\beta})$  time, it can be verified that  $\mathcal{G}''$  fulfills  $\mathcal{F}$  via  $\mathfrak{F}''$  indeed. Hence, in view of Lemma 3.2, the s.p. for each of the subfragments  $\text{BST}\otimes_{\log}^{\alpha}$  of  $\text{BST}\otimes$  (with  $\alpha > 0$ ) is in NP. The NP-hardness is inherited from that of the s.p. for the theory  $\text{BST}$  proved in [3], where  $\text{BST}$  is the subtheory of  $\text{BST}\otimes$  obtained by forbidding all the literals of the form  $x = y \otimes z$ . Hence, the NP-completeness of each fragment  $\text{BST}\otimes_{\log}^{\alpha}$  follows:

**Theorem 4.1.** *For every  $\alpha > 0$ , the s.p. for the theory  $\text{BST}\otimes_{\log}^{\alpha}$  is NP-complete.*

## 5 Conclusions and future research

Through an analysis of the subfragments  $\text{BST}\otimes_{\log}^{\alpha}$  of  $\text{BST}\otimes$ , in this paper we have established the NP-completeness of their satisfiability problems for any  $\alpha > 0$ . This result contributes to our understanding of the computational complexity of  $\text{BST}\otimes$ , which currently falls within the bounds of NP-hardness and NEXPTIME. It is expected that if the s.p. for the whole theory  $\text{BST}\otimes$  is NP-complete, the techniques developed here may be generalized so as to prove it.

Decision algorithms for enhanced versions of MLS (and therefore for  $\text{BST}$ ) have become crucial in the inference mechanisms utilized by the proof-checker *ÆtnaNova*, also known as Ref [27]. Given the widespread use of this mechanism in practical applications of *ÆtnaNova*, as discussed in [22, 20] and in the sections on ‘blobbing’ of [27], it is advantageous to minimize the occasional poor performance associated with the full-strength decision algorithm whenever possible. Therefore, identifying valuable ‘small’ fragments of set theory that possess efficient decision tests is of utmost importance.

In light of this, building upon the work initiated in [6, 3], we have already embarked on the investigation of the satisfiability problem for other valuable subfragments of  $\text{BST}\otimes$ . Specifically, letting  $\text{BST}\otimes(\text{lit}_1, \text{lit}_2, \dots)$  denote the subtheory of  $\text{BST}\otimes$  involving only literals  $\text{lit}_1, \text{lit}_2, \dots$  drawn from the list

$$(\setminus), (\cup), (\cap), (\neq), (\subseteq\otimes), (\otimes\subseteq), (\otimes),$$

where

$$(\star) x = y \star z, \quad (\neq) x \neq y, \quad (\subseteq\otimes) y \otimes z \subseteq x, \quad (\otimes\subseteq) x \subseteq y \otimes z, \quad (\otimes) x = y \otimes z$$

(with  $\star \in \{\setminus, \cup, \cap\}$ ), we have already obtained the following complexity results, in addition to the one discussed extensively in the preceding section:

- $\text{BST}\otimes(\neq, \subseteq\otimes)$ : both the ordinary and the finite s.p. have a  $\mathcal{O}(n^2)$  complexity;
- $\text{BST}\otimes(\neq, \otimes\subseteq)$ : the ordinary s.p. is  $\mathcal{O}(n)$ , while the finite s.p. is NP-complete;
- $\text{BST}\otimes(\neq, \otimes)$ : both the ordinary and the finite s.p. are NP-complete;
- $\text{BST}\otimes_{\log}^{\alpha}$ : the finite s.p. is NP-complete.

Our future plans involve extending this complexity taxonomy to encompass more combinations of literals of the aforementioned types.

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